SEC | SECURITIES AND EXCHANGE COMMISSION OF SRI LANKA



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The Securities and Exchange Commission of Sri Lanka (SEC) is responsible for the regulation of a fair, orderly, efficient, and transparent Capital Market and ensuring professional and good governance standards in the industry.

The SEC is looking for suitably qualified, self-motivated team players with high integrity, self-discipline, ethical conduct, ability to maintain confidentiality and strong interpersonal skills to fill the following position at the Commission.

Director - Surveillance

Role:

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The SEC is in the process of acquiring a new best-in-class real-time market surveillance system in order to enhance the efficiency and effectiveness of its surveillance function. The Director - Surveillance will be responsible for overseeing the monitoring of market activities to ensure compliance with the SEC Act, its rules and regulations. This role involves monitoring market transactions, studying and analyzing trades and trends, detecting any malpractices, market abuse, infringements and breaches and assisting investigations and enforcement actions by the SEC.

Skills and Competencies:

- · Be articulate, analytical, and decisive.
- Understanding stakeholder needs and balancing same with organizational demands.
- · Extremely high on initiative, proactive and highly self-motivated.
- Excellent interpersonal skills and good verbal and written communication skills.
- Digitally literate.
- · Strong collaborative skills to work effectively with cross-functional teams.

The successful candidate shall possess:

- A Bachelor's degree from a recognized University in Finance, Management, Accountancy, Statistics, Mathematics or Law or be a member of a recognized professional accounting body or a Chartered Financial Analyst.
- A Class and Master or Postgraduate qualification will be an added advantage.
- Possess a proven track record of at least 10 years of experience at senior managerial/managerial level in market surveillance, financial analysis or a related field.
- Sound knowledge in financial regulatory activities and capital market operations.

Candidates should be aged between 35 and 55. The upper age limit will not be applicable to internal applicants or candidates from government sector organizations.

Please apply with names and contact details of two non-related referees indicating the position on the top left-hand corner of the envelop to reach the following address by registered post or email your curriculum vitae to recruitments@sec.gov.lk on or before 7th July 2024.

The Director - HR and Organization Transformation
Securities and Exchange Commission of Sri Lanka
Level 29, East Tower, World Trade Center
Echelon Square, Colombo 1
Website: www.sec.gov.lk

The selection will be by way of an interview and only the shortlisted candidates would be contacted. Canvassing in any form will be a disqualification.